



## HARRIS CORPORATION

### PROCEDURES FOR REPORTING AND RESPONDING TO COMPLAINTS OR CONCERNS ABOUT ACCOUNTING AND AUDITING AND CERTAIN OTHER COVERED MATTERS

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Harris Corporation is committed to ongoing compliance with all applicable securities laws and regulations, accounting standards, accounting controls and audit practices. In furtherance of this commitment, all current and former employees, contractors, subcontractors and agents, as well as other persons who have information on such matters regarding Harris Corporation or its subsidiaries (“Harris”) are encouraged to report directly to Harris, in the manner described below, their complaints or concerns about any accounting, internal accounting controls or auditing matter, financial reporting or disclosure matter, or any other matter they reasonably believe constitutes or may constitute a violation of law, rule or regulation relating to securities or to fraud against shareholders, in order to allow Harris to investigate and in appropriate cases more quickly and effectively take potential corrective or other remedial action and prevent or avoid future issues. The Audit Committee of Harris Corporation’s Board of Directors (“Audit Committee”) will oversee the treatment of complaints or concerns regarding these matters. Furthermore, Harris will not tolerate or condone harassment, retaliation or any type of discrimination or adverse action against anyone who, based upon reasonable belief, makes a complaint or raises concerns regarding these matters or provides assistance or information relating to such matters.

In order to facilitate the direct reporting of complaints or concerns regarding these matters, the Audit Committee has established the following procedures for: (i) the receipt, retention and treatment of complaints and concerns regarding accounting, internal accounting controls or auditing matters, financial reporting or disclosure matters, or other matters relating to actual or alleged violations or potential violations of any law, rule or regulation relating to securities or to fraud against shareholders (collectively, “Potential Covered Matters”); and (ii) the confidential and/or anonymous submission by Harris employees of complaints or concerns regarding Potential Covered Matters.

#### **I. REPORTING COMPLAINTS AND CONCERNS.**

- Any current Harris employee with complaints or concerns regarding Potential Covered Matters is encouraged to report such complaints or concerns to his or her supervisor or manager or to a Harris Ethics Advisor, a lawyer in the Harris Legal Department, Harris’ Director of Ethics and Compliance or Harris’ Vice President of Internal Audit.
- Any other person with complaints or concerns regarding Potential Covered Matters is encouraged to report such complaints or concerns to Harris’ Director of Ethics and Compliance or to Harris’ Vice President of Internal Audit.

- Any person who reports a complaint or concern and does not believe such complaint or concern has been addressed appropriately is encouraged to report such complaint or concern to higher levels of authority within Harris, up to and including Harris' Vice President of Internal Audit and Harris' General Counsel.

- Harris' Director of Ethics and Compliance may be contacted at:

Telephone Number: 1-260-451-5575

E-mail: [tmcdan03@harris.com](mailto:tmcdan03@harris.com)

Regular Mail:

Director of Ethics and Compliance, Mailstop W1-11H

Harris Corporation

150 South Wickham Road

Melbourne, FL, USA 32904

- Harris' Vice President of Internal Audit may be contacted at:

Telephone Number: 1-321-724-3456

E-mail: [kdua@harris.com](mailto:kdua@harris.com)

Regular Mail:

Vice President, Internal Audit and Compliance

Harris Corporation

1025 West NASA Blvd.

Mailstop C-11C

Melbourne, FL, USA 32919

- Confidential and/or Anonymous Reporting – Complaints or concerns regarding Potential Covered Matters also may be reported to Harris' Director of Ethics and Compliance on a confidential and/or anonymous basis using the Harris Adviceline as follows:

- For reporting by telephone or online, contact the Harris Adviceline at:

In the United States: 1-855-HRS-4BSA (1-855-477-4272)

Outside the United States: Callers can access their country specific number via link below.

Website: [www.HarrisAdviceline.com](http://www.HarrisAdviceline.com).

The Harris Adviceline is accessible 24 hours a day, 7 days a week. Those contacting the Harris Adviceline may identify themselves confidentially to the **independent third party** only or remain completely anonymous.

For reporting by e-mail, send e-mail to [ethics@harris.com](mailto:ethics@harris.com). The Director of Ethics and Compliance will assign a case number and respond to all such emails.

Persons concerned with reporting complaints or concerns on an anonymous basis are cautioned that regular email systems typically reveal the identification of the sender, and that as a result, reporting complaints or concerns by email may not be an effective means for reporting complaints or concerns anonymously.

- Persons requesting confidential treatment when reporting a complaint or concern are advised that the name of the person reporting such complaint or concern will be revealed only to the person to whom the report has been submitted, the Director of Ethics and Compliance, the Vice President of Internal Audit, the Chief Financial Officer, the General Counsel, the Chief Executive Officer, the Audit Committee and such other persons as the Director of Ethics and Compliance and Vice President of Internal Audit and the Audit Committee determine are advisable in order to carry out an appropriate and adequate evaluation or investigation of the matters described in such complaint or concern.

- Harris otherwise will maintain confidentiality regarding discussions, inquiries and the identities of persons reporting complaints or concerns or otherwise potentially involved to the fullest extent possible, consistent with the need to carry out an appropriate and adequate evaluation or investigation of the matters described in a complaint or concern during any review or investigation. Further, all reasonable attempts will be made to protect the anonymity of persons reporting complaints or concerns on an anonymous basis and of witnesses who request to have identities remain anonymous.

- Although any person with a complaint or concern regarding Potential Covered Matters is encouraged to report such complaint or concern directly to Harris, these procedures do not require such person first to report such complaint or concern directly to Harris and in no way limit or prevent such person from reporting such complaint or concern directly to proper governmental and regulatory authorities.

## **II. SCOPE OF PROCEDURES.**

These procedures address complaints or concerns regarding any Potential Covered Matters including, without limitation, actual or alleged instances of the following:

- Fraud or deliberate error in the recording and maintaining of financial records of Harris;

- Fraud or deliberate error in the preparation, evaluation, review, or audit of any financial statement of Harris;

- Deficiencies in or non-compliance with Harris' internal accounting controls, including attempted or actual circumvention of internal controls;

- Misrepresentation or false statement to or by a senior officer or accountant regarding a matter contained in the financial records, financial reports, or audit reports of Harris;

- Deviation from full and fair reporting of Harris' financial condition, results of operations, or financial statements; or

- Violations of laws, rules or regulations relating to securities (including the Foreign Corrupt Practices Act and the Sarbanes-Oxley Act) or to fraud against shareholders or mail, wire or bank fraud.

### **III. TREATMENT OF REPORTED COMPLAINTS AND CONCERNS.**

- The Audit Committee has designated the Vice President of Internal Audit and the Director of Ethics and Compliance as authorized recipients to assist the Audit Committee in assessing and dispositioning reported complaints and concerns regarding Potential Covered Matters. All recipients of such complaints or concerns will immediately forward a copy of any mail or e-mail, or a transcript of a voicemail or summary of a call or oral complaint relating to Potential Covered Matters to the Vice President of Internal Audit and the Director of Ethics and Compliance.

- Upon receipt of a reported complaint or concern, the Vice President of Internal Audit (in consultation with the General Counsel, the Director of Ethics and Compliance, the Secretary of the Audit Committee, or others as appropriate) will: (1) promptly determine whether the reported complaint or concern relates to a Potential Covered Matter and, if so, whether the Potential Covered Matter: (i) involves a member of the Board of Directors of Harris or an executive officer of Harris; (ii) involves or is reasonably likely to involve a sum in excess of \$500,000; (iii) has been reported to the U.S. Securities and Exchange Commission, the Public Company Accounting Oversight Board or any other proper governmental or regulatory authority with jurisdiction applicable to Potential Covered Matters; or (iv) in the judgment of the Vice President of Internal Audit, otherwise is potentially material or should be reported promptly to the Chairperson of the Audit Committee (Potential Covered Matters falling within the types described in clauses (i), (ii), (iii), or (iv) are referred to herein as “Direct Report Potential Covered Matters”); and (2) unless the complaint or concern has been submitted anonymously, acknowledge receipt to the sender.

- Complaint or Concern Determined Not To Relate to a Potential Covered Matter – If the complaint or concern is determined not to relate to a Potential Covered Matter, then such complaint or concern will be handled in the same manner as other complaints or concerns are handled under the Harris Code of Conduct.

- Complaint or Concern Determined To Relate to a Potential Covered Matter But Not a Direct Report Potential Covered Matter – If the complaint or concern is determined to relate to a Potential Covered Matter but not a Direct Report Potential Covered Matter, then such complaint or concern will be handled in the same manner as other complaints or concerns are handled under the Harris Code of Conduct, except that the Chairperson of the Audit Committee or the full Audit Committee also may be consulted regarding the manner in which such complaint or concern may be addressed. All such complaints or concerns will nonetheless be reported to the Audit Committee by the Vice President of Internal Audit not less than on a quarterly basis.

- Complaint or Concern Determined To Relate to a Direct Report Potential Covered Matter – If the complaint or concern is determined to relate to a Direct Report Potential Covered Matter, then the Vice President of Internal Audit will, in coordination

with the General Counsel, promptly report such complaint or concern to each of the Chairperson of the Audit Committee, the Chief Executive Officer and the Chief Financial Officer, unless such person is the subject of such complaint or concern. Such complaint or concern will then be reviewed on a timely basis by the Vice President of Internal Audit and the Chairperson of the Audit Committee (in consultation with the General Counsel, the Secretary of the Audit Committee, or others as appropriate) to determine, based on the nature and extent of the allegations, how and by whom such complaint or concern should be investigated and the extent to which the full Audit Committee (or its designee) should be involved. The Chairperson of the Audit Committee, or the full Audit Committee if appropriate (in consultation with the Vice President of Internal Audit, the Director of Ethics and Compliance, the General Counsel, the Secretary of the Audit Committee, or others as appropriate) will consider the scope, severity and specificity of the allegations, among other things, and the range of investigative options available (e.g., internal or outside legal counsel, internal audit, compliance, management, etc.) before deciding on a course of action, which may include involvement by the entire Audit Committee. The Audit Committee will be kept apprised by the Chairperson of the Audit Committee of the status of any such complaints or concerns on a periodic basis.

- The Audit Committee has the authority to use internal company resources, or to engage independent counsel and other advisers, as it determines appropriate and necessary, to carry out its duties and respond to any complaint or concern that relates to a Potential Covered Matter, including a Direct Report Potential Covered Matter.

- If a complaint or concern relates to a Potential Covered Matter, including a Direct Report Potential Covered Matter, or is determined to involve a violation or potential violation of the Harris Code of Conduct or otherwise to warrant action, prompt and appropriate corrective action will be taken when and as warranted. In addition, in appropriate cases and upon the advice of counsel, Harris will consider self-reporting violations or suspected violations to appropriate authorities.

#### **IV. REPORTING AND RETENTION OF COMPLAINTS AND CONCERNS.**

- The Vice President of Internal Audit will maintain a log of each reported complaint and concern regarding Potential Covered Matters, tracking its receipt, investigation and resolution, and not less than quarterly will prepare and submit a report to the Audit Committee of such complaints and concerns and actions taken in response. If there have been no such complaints or concerns, the report will state that fact. Copies of such complaints and concerns and such log will be maintained in accordance with Harris' Record Retention Policy.

- Files related to such complaints and concerns will be securely maintained by the Vice President of Internal Audit in accordance with applicable law, court order, regulation or Harris' Record Retention Policy. Files and associated documents being discarded at the end of the required retention period will be shredded or destroyed by other appropriate means.

#### **V. NON-RETALIATION.**

Harris will not discharge, demote, suspend, threaten, harass or in any other manner discriminate or retaliate against any employee in the terms and conditions of employment or any other person

based upon any lawful actions of such employee or other person with respect to the reporting of, or assistance with, complaints regarding Potential Covered Matters or otherwise as specified in Section 806 of the Sarbanes-Oxley Act of 2002, Section 922 of the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 or Section 21F(h)(1) of the Securities Exchange Act of 1934, as amended. Harris will promptly review and investigate any report of retaliatory or other similar behavior. Furthermore, Harris will take appropriate disciplinary actions against any person who retaliates against any other person who, based upon reasonable belief, makes a complaint or raises concerns regarding Potential Covered Matters or who provides assistance or information relating to such matters.

Adopted June 25, 2004; amended on June 22, 2006, June 26, 2008, April 26, 2012, April 21, 2016 and April 26, 2018. (Also updated periodically to reflect current contact information.)